

Seaborg
#3
PATENT



Practitioner's Docket No.: 939_023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: Akira MATSUMOTO and Masashi FUKUYAMA

Serial No.: 09/819,330

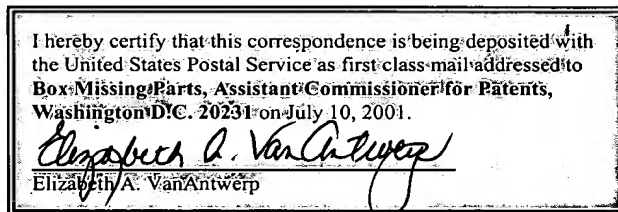
Art Unit: 2874

Filed: March 28, 2001

Examiner: Not Assigned

For: OPTICAL FIBER ARRAY

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231



COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed May 25, 2001.

☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

DECLARATION OR OATH

II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

III. ☐ A Preliminary Amendment
☒ Assignment with Assignment Transmittal
☒ A Submission of Certified Copies of Priority Documents and certified copies of:

Japanese Application 2000-093,230 filed March 30, 2000 and
Japanese Application 2001-042,293 filed February 19, 2001.

TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

- V. ☐ Applicant(s) claim(s) small entity status. See 37 C.F.R. § 1.27.

COMPLETION FEES

- VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

1. Filing Fee
 - ☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
2. Fees for Claims (6 Claims; Indep.)
 - ☐ each independent claim in excess of 3
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00) \$.00
 - ☐ each claim in excess of 20
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$.00
 - ☐ multiple dependent claim(s)
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00) \$.00
3. Surcharge fees
 - ☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(k)--\$130.00; small entity--\$65.00)

and/or

- ☒ late filing of original declaration or oath
(37 C.F.R. 1.16(k)--\$130.00; small entity--\$65.00) \$.00

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(k).

4. ☐ Fee for processing an application filed with a specification in a non-English language
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00) \$.00
5. ☒ Assignment Fee \$ 40.00

Total Completion Fees \$ 880.00

EXTENSION OF TIME**VII.***(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)		Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	One Month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	Two Months	\$ 390.00	\$ 195.00
<input type="checkbox"/>	Three Months	\$ 890.00	\$ 445.00

Extension Fee \$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE**VIII.**

The total fee due is:	Completion fee(s)	\$ 880.00
	Extension fee (if any)	\$ <u>00</u>
	Total Fee Due	\$ 880.00

PAYMENT OF FEES

- IX. ☒ Enclosed is a check in the amount of \$880.00.
☐ Charge Account No. _____ in the amount of \$ _____. A duplicate of this request is attached.

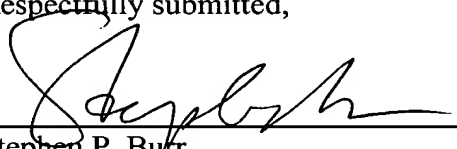
**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR
CREDIT OVERPAYMENT**

- X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

July 10, 2001

Date



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,330	03/28/2001	Akira Matsumoto	939-023

025191
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SYRACUSE, NY 13261-7068

CONFIRMATION NO. 4237

FORMALITIES LETTER



OC000000006120055

Date Mailed: 05/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 AZERGAW1 00000194 09819330

01 FC:101
02 FC:105

710.00 OP
130.00 OP

